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Award Number: DAMD17-01-1-0777

TITLE: Fundamental Patterns Underlying Neurotoxicity Revealed by

DNA Microarray Expression Profiling

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REPORT DATE: September 2003

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

Distribution Unlimited

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REPORT DOCUMENTATION PAGE

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OMB No. 074-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503 1. AGENCY USE ONLY 2. REPORT DATE 3. REPORT TYPE AND DATES COVERED (Leave blank) September 2003 Annual (1 Sep 2002 - 31 Aug 2003) 4. TITLE AND SUBTITLE 5. FUNDING NUMBERS Fundamental Patterns Underlying Neurotoxicity Revealed by DAMD17-01-1-0777 DNA Microarray Expression Profiling 6. AUTHOR(S) Karen L. O'Malley, Ph.D. 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION Washington University School of Medicine REPORT NUMBER St. Louis, Missouri 63110-1093 E-Mail: omalleyk@pcg.wustl.edu 9. SPONSORING / MONITORING 10. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) AGENCY REPORT NUMBER U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012 11. SUPPLEMENTARY NOTES Original contains color plates: All DTIC reproductions will be in black and white. 12a. DISTRIBUTION / AVAILABILITY STATEMENT

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12b. DISTRIBUTION CODE

13. ABSTRACT (Maximum 200 Words)

The selective neurotoxins 1-methyl-4-phenylpyribinium (MPP+) and 6-hydroxydopamine (6-OHDA) have been widely used to generate animal models of Parkinson's disease (PD). To understand the genetic events associated with these neurotoxins, microarray technology served to monitor differences in gene expression patterns in normal versus pathological conditions. Microarray analysis of RNA isolated form toxin treated samples revealed that the stress induced transcription factor CHOP was dramatically up regulated by bothe toxins. 6-OHDA also induced a large number of genes involved in endoplasmic reticulum (ER) stress and unfolded protein response (UPR) such as ER chaperones and elements of the ubiquitin-proteasome system. RT-PCR, Western blotting, and immunocytochemical approaches were used to quantify and temporarily order the UPR pathways involved in neurotoxininduced cell death. 6-OHDA, but not MPP+, significantly increased hallmarks of UPR such as BiP, c-jun, and processed Xbp1 mRNA. Both toxins increased the phosphorylation of UPR proteins, PERK and eIF2 α , but only 6-OHDA increased phosphorylation of c-jun. Thus, 6-OHDA triggers multiple pathways associated with UPR, whereas MPP exhibits a more restricted response. 6-OHDA induced similar responses in primary dopaminergic neurons. These experiments will help clarify the molecular mechanisms associated with 6-OHDA and MPP+ toxicity and might aid in developing novel therapeutic avenues relevant to PD.

14. SUBJECT TERMS 15. NUMBER OF PAGES MPP+; 5-OHDA; Neurotoxicity; Gene expression profiling; DNA 32 microarray 16. PRICE CODE 17. SECURITY CLASSIFICATION 18. SECURITY CLASSIFICATION 19. SECURITY CLASSIFICATION 20. LIMITATION OF ABSTRACT OF REPORT OF THIS PAGE OF ABSTRACT Unclassified Unclassified Unclassified

NSN 7540-01-280-5500

Standard Form 298 (Rev. 2-89) Prescribed by ANSI Std. Z39-18

Unlimited

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Introduction

Accumulating evidence suggests that Endoplasmic Reticulum (ER) stress/Unfolded Protein Response (UPR)-mediated cell death plays a role in Parkinson's disease (PD) based on genetic, pharmacological and environmental factors. For example, α-synuclein, the major component of Lewy bodies (Spillantini et al., 1997), is associated with protein aggregation and proteosomal dysfunction (Betarbet et al., 2002). Additionally, Parkin, the protein associated with autosomal recessive juvenile Parkinsonism (AR-JP; Kitada et al., 1998) has been shown to be an E3 ubiquitin-protein ligase (Shimura et al., 2000). Reports that Parkin substrates misfold, aggregate, and trigger ER stress/UPR suggest that Parkin activity prevents the accumulation of misfolded proteins (Imai et al., 2001; Tsai et al., 2003). The role of proteosomal impairment has been further emphasized by recent findings that pharmacological inhibition of proteasome function leads to selective degeneration of dopaminergic neurons in culture (McNaught et al., 2002a) as well as in vivo (McNaught et al., 2002b). Finally, recent studies from this lab (Holtz and O'Malley, 2003) as well as others (Ryu et al., 2002) have linked oxidative stress, a well-documented factor in PD, with ER stress/UPR as well.

Beginning with a functional genomics approach to identify transcriptional alterations in a well-characterized model of 6-OHDA and MPP⁺ toxicity, studies conducted under the auspices of this grant identified numerous changes in genes associated with ER stress/UPR (Holtz and O'Malley, 2003). Reverse transcription/PCR amplification, western blots and immunocytochemistry were used to verify changes in selected subsets of differentially regulated transcripts. Selected transcripts were also tested for toxin-induced changes in primary cultured dopaminergic neurons. Just as studies in other model systems have uncovered novel signaling pathways, these experiments are also revealing unanticipated pathways that contribute to MPP⁺ and 6-OHDA neurotoxicity. Taken together, these and other findings support the theory that proteosomal dysfunction with ensuing ER stress/UPR contribute to PD.

Body

A. Does the neurotoxin MPP⁺ differentially regulate sets of genes?

To test the hypothesis that MPP $^+$ alters gene transcription as part of its neurotoxic program, a time course study using cycloheximide to block MPP $^+$ toxicity, was performed as previously described, Briefly, cells were treated with 50 μ M MPP $^+$ with 10 μ M cycloheximide being added for varying periods of time. The point at which about 50 % of the cells were rescued by blocking protein synthesis, 9 hours following MPP $^+$ treatment, was chosen as the best time point at which to harvest RNA.

In consultation with experts from our onsite Affymetrix gene chip core facility, we subsequently designed our experiments such that a minimum of 3 separate experiments were performed in which cells were treated with MPP⁺ for 9 hours and then harvested for RNA preparation at that time point. Cell death was verified in each case by independent experiments done on sibling cultures. RNAs from all three experiments were pooled to form an RNA resource that would minimize experimental variation.

RNA sample preparation was done according to protocols devised by Affymetrix to achieve the best results, particularly for mammalian cells. Specific details of preparation and hybridization

were described previously and are detailed in the attached manuscript (Holtz and O'Malley, 2003).

The data obtained for MPP⁺ are compiled values from three separate experiments done in triplicate as described above. The expression level of each probe set was plotted to determine the reproducibility of the array-based hybridization signals and to compare gene expression levels by MN9D cells treated with and without MPP⁺. The ratio of gene intensity in toxin-treated cells to that in control samples was used to represent the toxin-mediated induction. The reciprocal ratio represented repression. Genes were considered up or down-regulated if the fold change was at least 2.0 in individual experiments as well as in averaged, triplicated experiments. These limits are in general agreement with most gene chip experiments.

Out of the approximately 12,000 genes and ESTs represented on the MG-U74Av2 GeneChip, 4,304 (~35% of total) were defined as "present" by the microarray analysis software for MPP⁺ - treated samples. Transcripts were subsequently grouped by individual toxin treatment or by both 6-OHDA and MPP⁺. As indicated in Table 1, only 59 transcripts increased in response to MPP⁺. Results for decreasing transcripts were somewhat less (Table 2). Both neurotoxins induced a number of the same transcripts, with 43 of the 59 transcripts induced by MPP⁺ also induced by 6-OHDA (Table 3). These included genes involved in cell cycle and/or differentiation, signaling, stress, and transcription factors, indicating possible common cell death mechanisms. The most highly induced transcript in response to either treatment was that to the stress protein CHOP/Gadd153. These results support previous findings showing that MPP⁺ and 6-OHDA promote distinct yet overlapping programs of cell death.

As described in more detail below (C; Holtz and O'Malley, 2003), MPP+ appears to specifically induce one arm of the ER stress/Unfolded Protein Response (UPR)-mediated cell death pathway. Interestingly, time course data generated for pathway constituents indicated that between 1-3 hours something occurs in MPP+ treated cells that leads to a decline in the ER stress/UPR markers (see Figs 4-5, attached publication Holtz and O'Malley, 2003). As part of the original research proposal and in order to determine whether transcriptional changes are mediating this effect, we have now prepared MPP+treated RNA at 1 and 6 hours exactly as previously described. Aliquots of the pooled RNA from these experiments are queued at the Washington University School of Medicine DNA array facility. We should receive these new data in the next few weeks. The additional information will allow us to analyze MPP+mediated transcriptional changes in significantly more depth than our original single time point has allowed.

B. Does the neurotoxin 6-OHDA differentially regulate sets of genes?

To test the hypothesis that 6-OHDA neurotoxicity alters fundamental patterns of gene expression, experiments were conducted exactly as described above for MPP⁺. Out of the approximately 12,000 genes and ESTs represented on the GeneChip, 4,580 (~37% of total) were defined as present for 6-OHDA-treated samples. Notably, 6-OHDA treatment affected almost three times as many transcripts as MPP⁺. Specifically, 157 transcripts increased in response to 6-OHDA (Table 4) and 41 decreased (Table 5). As described, the most highly induced transcript in response to either treatment was that to CHOP. 6-OHDA also induced a large number of transcripts that were unchanged by MPP⁺ treatment, including molecular chaperones and other genes involved in protein folding, trafficking, and the ubiquitin-proteasome pathway (Table 3).

Because 6-OHDA appeared to induce apoptosis in this model system as well as in primary cultured neurons (Oh et al., 1995; Lotharius et al., 1999; Choi et al., 1999), we anticipated the identification of functional clusters of neurotoxin-responsive genes that would overlap with apoptotic patterns observed in other models. Surprisingly, however, many of the genes that were up regulated were again members of the ER stress/UPR cell death pathway. Indeed, Chop induction was even more pronounced in 6-OHDA treated cells than in MPP⁺ Table 3).

Currently, we have also prepared RNA from MN9D cells treated with 6-OHDA for 1 and 6 hours. These samples, like those for the MPP⁺-treated RNA pools are awaiting processing at our DNA array facility. The wealth of new information being generated with further our attempts to order and delineate 6-OHDA mediated cell death pathways.

C. Verification in MN9D Cells

To verify induction or repression by an independent method, a subset of the most interesting differentially regulated genes were examined by RT/PCR, Western blotting and immunocytochemical approaches. These methodologies allowed us to quantitate and temporally order the ER stress/UPR pathways involved in neurotoxin-induced cell death. As detailed in the attached publication (Holtz and O'Malley, 2003), 6-OHDA, but not MPP⁺, significantly increased hallmarks of UPR such as BiP, c-jun, and processed Xbp1 mRNA. Both toxins increased the phosphorylation of UPR proteins, PERK and eIF2α, but only 6-OHDA increased phosphorylation of c-jun. Thus, 6-OHDA is capable of triggering multiple pathways associated with UPR, whereas MPP⁺ exhibits a more restricted response. These results allowed us to derive a working model (Fig. 1) from which we can test further hypotheses.

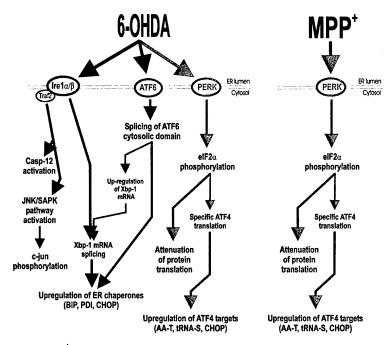


Fig. 1. 6-OHDA induces multiple targets of UPR, while MPP+ is restricted to the PERK pathway. The mammalian UPR consists of three ER membrane resident proteins (Ire1α/β, ATF6, and PERK) that sense ER stress and activate the UPR pathway resulting in transcriptional changes and attenuation of protein translation. The current studies demonstrate that 6-OHDA induces all three arms of the UPR leading ultimately to the transcriptional changes first identified by microarray analysis. In contrast, MPP⁺ is restricted to phosphorylation of PERK and elF2α. resulting in up-regulation of a subset of genes induced by 6-OHDA (Holtz and O'Malley, 2003).

To aid in analyzing additional transcriptional changes generated from the 9-hour time point and our anticipated new data from the one and 6-hour points, we have developed protocols to analyze transcript levels using real time PCR. As an example, new primers for CHOP cDNA were prepared and used to reverse-transcribe total RNA from MN9D cells exposed to 6-OHDA or MPP⁺ for 0, 1, 3, 6, 9, or 12 hours. This cDNA was then analyzed using real time PCR. cDNA from the constitutively transcribed GAPDH gene was also analyzed to normalize the

CHOP values. The resulting data were used to determine the relative-fold induction of CHOP as a function of time exposed to 6-OHDA or MPP⁺. As shown in Fig. 2, the real time PCR results verified previous data indicating that CHOP is induced up to 6 and 8 fold by MPP⁺ and 6-OHDA, respectively.

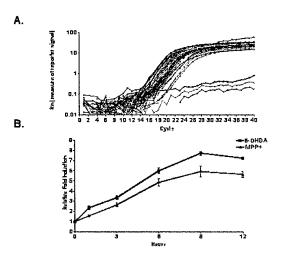


Fig. 2. Real time PCR confirms microarray results: COP is upregulated by MPP⁺ and 6-OHDA.

D. Are neurotoxin-mediated changes in gene expression recapitulated in cultured dopaminergic neurons?

To confirm and extend results obtained using the dopaminergic cell line model, we are using primary cultures of dopaminergic neurons. The advantages of using this paradigm include the ease of preparation and culture manipulation and the well-documented similarity in responses (Oh et al., 1995; Lotharius et al., 1999; Holtz and O'Malley, 2003). To determine whether UPR induction could be observed in mesencephalic cultures following neurotoxin treatment, Western blot analysis and immunocytochemistry were performed. Similar to results from the dopaminergic MN9D cells, 6-OHDA increased levels of CHOP protein at 6 and 12 hours (Fig. 3). 6-OHDA also increased phosphorylation of

elF2 α and c-jun. In contrast, none of the markers seen in the dopaminergic cell line were up regulated in mesencephalic cultures treated with MPP⁺.

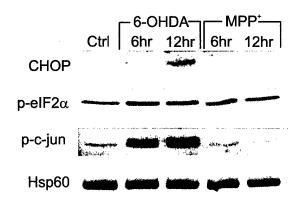


Fig. 3. 6-OHDA up-regulates CHOP in primary mesencephalic neurons. Protein lysates were prepared from primary mesencephalic cultures treated with 6-OHDA and MPP $^{+}$. Western blot analysis of primary lysates was done using antibodies against CHOP, phosphorylated elF2 α (p-elF2 α), phosphorylated c-jun (p-c-jun), and Hsp60 as a protein loading control.

Immunostaining of primary cultures with CHOP and phospho-c-jun antibodies allowed individual dopaminergic neurons to be examined via costaining with TH. 6-OHDA treated cultures displayed intense nuclear staining of CHOP in both dopaminergic neurons as well as in many other cell types (Fig. 4). Cultures treated with MPP⁺ did not appear different from controls in overall expression of CHOP, nor was CHOP induction detected in dopaminergic neurons over a 24-hour period. Similarly, increased expression

of phospho-c-jun was widespread with 6-OHDA treatment in both dopaminergic and non-dopaminergic neurons, whereas there was no obvious change in phosphorylation of c-jun following MPP⁺ administration. Taken together, these results suggest that MPP⁺ can induce a partial UPR response in the MN9D cell line but not in cultured dopaminergic neurons. In contrast, 6-OHDA induces a broad spectrum of UPR

responses in both MN9D cells as well as in dissociated dopaminergic neurons. Thus, these cells will serve as a useful model in determining the temporal and molecular events associated with 6-OHDA neurotoxicity. As new data become available from our additional microarray

experiments, we will continue screening both the MN9D cells as well as our primary culture model to confirm and extend these results.

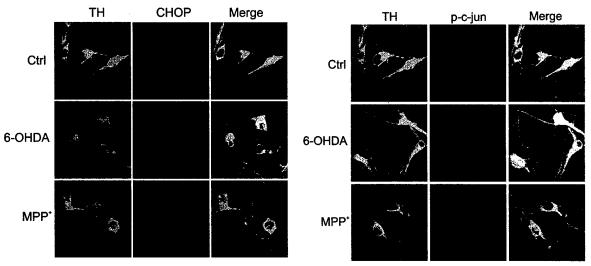


Fig. 4. 6-OHDA up-regulates CHOP and p-c-jun in dissociated dopaminergic neurons. Primary cultures treated for 18 hours were fixed and stained for CHOP and TH. Primary cultures treated for 12 hours were fixed and stained for phospho-c-jun and TH.

What are the signaling pathways involved in this response? In contrast to the well-delineated mitochondrial-mediated cell death pathways, ER stress/UPR signaling cascades are still unclear. Because identification of the initiators of this process will allow new therapeutic avenues to be pursued, we have used our microarray data to identify molecules that might be involved in this response. Potential candidates include the cysteinyl aspartate proteinases (caspases) that mediate programmed cell death and/or the so-called BH3-only proteins that affect many cellular processes to trigger cell death responses.

Inasmuch as caspase 12 specifically localizes to ER membranes and has been shown to be cleaved in the course of ER stress/UPR mediated cell death (Nakagawa et al., 2000), caspase 12 is a prime candidate for being the "ER stress mediator". To test this hypothesis we looked for evidence of caspase 12 involvement in our model. Surprisingly, antibodies that easily recognized caspase 12 activation in control cells were unable to detect similarly sized proteins in either our dopaminergic cell line or primary culture model. Moreover, our microarray results suggested that caspase 12 transcripts were not present nor could we directly amplify caspase 12 fragments using RT/PCR. Finally pre-treatment with inhibitors of the caspase 12 activators, calpains (I, II) failed to prevent CHOP or caspase 3 activation. Therefore, it would not appear that caspase 12 is triggering neurotoxin-mediated dopaminergic cell death.

As indicated in Table 6, only caspase 2,3,7, and 9 are expressed in the MN9D cells. Because very recent studies have shown that caspase 2 may serve as an initiating caspase particularly in models that also involve ER stress/UPR (e.g. β -amyloid toxicity; Troy and Shelanski, 2003), we screened our dopaminergic model for the presence and activation of caspase 2. Briefly, no evidence of caspase 2 activation was observed using real-time PCR, Western blotting techniques, activity assays, and/or caspase 2 inhibitors. Thus it would appear that caspase 2 does not initiate cell death in this system. Previously we've shown caspase 3 activation beginning around 6 hours after 6-OHDA treatment (Jensen et al., 2003). Caspase 7 and 9 are also activated within that time frame (not shown).

The results described above have re-focused our attention on the BH3-only proteins. Previously, we have determined that pro-apoptotic Bax is not involved since Bax deficient animals do not rescue primary dopaminergic neurons from 6-OHDA or MPP⁺-mediated cell death (O'Malley et al., 2003). Similarly we've ruled out Bad and Bak (not published). However, this is a large family of proteins and new ones are discovered with regularity. We are in the process of systematically testing every known BH3-only protein as a possible mediator of this response. For example, BH3-only proteins, Bim and Bid are present in MN9D cells although their transcript levels do not change following 6-OHDA or MPP⁺ treatment (Table 6), nor do their protein levels (not shown). Another BH3-only protein recently shown to mediate ER stress/UPR cell death is Bbc3/PUMA (Reimertz et al., 2003). Because this gene is not on the microarray that we originally screened, we're using PCR based methodologies to determine whether it is present in MN9D cells and/or primary mesencephalic cultures and whether it is induced in response to ER stress/UPR.

Key Research Accomplishments

Analyzed hybridization patterns of normal and 9-hour toxin-treated cRNAs using in-house GeneChip Facility and Affymetrix 12,000 gene chip set.

Verified differential regulation of particular gene subsets using RNA, Western blot, and immunocytochemical analysis in MN9D cells and cultured dopaminergic neurons.

Discovered that both MPP⁺ and 6-OHDA induce markers of ER stress.

Prepared mRNA from normal, 6-OHDA and MPP⁺-treated dopaminergic cells at 1 and 6 hours post treatment.

Established real time PCR techniques to evaluate microarray data.

Initiated delineation of signaling pathways mediating neurotoxin responses.

Reportable Outcomes

A poster describing our initial studies was presented at the Society for Neuroscience Annual Meeting, 2002.

A slide presentation describing our current studies will be presented at the Society for Neuroscience Annual Meeting, 2003.

Initial studies were published as: Holtz WA and O'Malley KL (2003) Parkinsonian mimetics induce aspects of unfolded protein response in death of dopaminergic neurons. J Biol Chem. 278:19367-77. Epub 2003 Feb 21.

Conclusions

The central hypothesis of these studies is that changes in gene expression underlie much of the damage that ultimately leads to the death of dopaminergic neurons after treatment with 6-OHDA

or MPP⁺. Using DNA microarray technology we determined that both of these neurotoxins induce ER stress although not to the same degree. Identification of key genetic components of this response may suggest new points of intervention. Taken together, these experiments will help clarify the molecular mechanisms associated with 6-OHDA and MPP⁺ toxicity and might aid in developing novel therapeutic avenues to pursue relevant to PD.

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Signal log ratio

fold change

Table 1. Transcripts increased by MPP+

6-OHDA 2.8 0.6 -1.2 0.4 0.6 9.0 2.0 1.0 0.5 1.1 -0.4 0.9 0.0 9.0 9.0 0.5 MPP 0.8 0.8 0.8 0.8 0. 6-OHDA 4.6 5.3 1.6 1.5 7.0 1.5 1.9 1.4 2.1 .0 4. MPP 2.0 0.0.0.0.0.8. 3.1 2.0 6. 6. 6. ESTs Highly similar to LEUCYL-TRNA SYNTHETASE CYTOPLASMIC [Saccharomyces cerevisiae] Mus musculus 10 11 days embryo cDNA RIKEN full-length enriched library clone 2810017N01 Mus musculus Similar to phosphoserine phosphatase clone MGC 7574 mRNA complete cds Mus musculus 10 days embryo cDNA RIKEN full-length enriched library clone 2600002G09 solute carrier family 7 cationic amino acid transporter y system member 5 ESTs Highly similar to ALANYL-TRNA SYNTHETASE [Homo sapiens] DNA segment Chr 10 Brigham Women s Genetics 0791 expressed ESTs Weakly similar to CG11414 gene product [D.melanogaster] eukaryotic translation initiation factor 4E binding protein 1 ESTs Weakly similar to 16.7Kd protein [H.sapiens] myeloid differentiation primary response gene 116 M.musculus mRNA for glutamyl-tRNA synthetase CCAAT/enhancer binding protein C/EBP beta chloride intracellular channel 4 mitochondrial chloride intracellular channel 4 mitochondrial chromobox homolog 4 Drosophila Pc class nuclear factor erythroid derived 2 like 1 DNA-damage inducible transcript 3 RIKEN cDNA 1500005G05 gene RIKEN cDNA 1200017E13 gene RIKEN cDNA 2610007K22 gene nduced in fatty liver dystrophy 2 RIKEN cDNA 5830413E08 gene activating transcription factor 3 activating transcription factor 4 neutral amino acid transporter cysteinyl-tRNA synthetase lymphoid blast crisis-like 1 growth arrest specific 5 GTP binding protein 2 glycine transporter 1 HS1 binding protein sequestosome 1 GeneName p8 protein ESTs ESTs ESTS 500005G05Rik 5830413E08Rik 1200017E13Rik 2610007K22Rik D10Bwg0791e Hax1-pending GeneSymbol P8-pending Eif4ebp1 Myd116 Gtpbp2 Sqstm1 Sic1a4 Slc7a5 Nfe211 Sebbb Lbcl1 Cbx4 Cars <u>Si</u>5 Gas5 Glyt1 <u>Ci5</u> Aff4 GenBankID AW124369 AW122372 AW125480 AA770736 4B017189 4A684508 AF023482 A1838015 AI849939 AI846545 AI845237 AI849615 A1839392 A1839918 A1836408 **41848732** AI849533 **A1849620 41844089** AI852641 A1849556 A1839690 A1854884 A1854851 U75215 U63387 M94087 X78709 U40930 X67056 X95761 (67083 M61007 **J19118** (51829 **J28656** (54327

methylenetetrahydrofolate dehydrogenase NAD dependent methenyltetrahydrofolate cyclohydrolase

antigen identified by monoclonal antibodies 4F2

RIKEN cDNA 3010001M15 gene

3010001M15Rik

AW125874

Mdu1

X14309

X76505

1200017E04Rik

Mthfd2

Wars

AI851163

A1852087

RIKEN cDNA 1200017E04 gene

hyptophanyl-tRNA synthetase

discoidin domain receptor family member 2

Mus musculus clone IMAGE 3491909 mRNA partial cds

RIKEN cDNA 1200003J13 gene

1200003J13Rik

AW060270

A1848393

217666 104627

1.6

Table 1. Tran	Table 1. Transcripts increased by MPP⁺.	y MPP⁺.	fold	fold change	Signal	Signal log ratio
GenBankID	GenBankID GeneSymbol	GeneName	MPP⁺	6-OHDA	MPP⁺	6-OHDA
AA798624	Ero11-pending	ERO1-like S. cerevisiae	1.5	1.5	9.0	9.0
A1929971	1010001P14Rik	RIKEN cDNA 1010001P14 gene	1.5	1.7	9.0	0.8
AI841996	D12Bwq0579e	DNA segment Chr 12 Brigham Women s Genetics 0579 expressed	1.5	1.3	9.0	9.0
AF004294	Mvt1	mvelin transcription factor 1	1.5	1.2	9.0	0.3
AI845237	<u>S</u>	chloride intracellular channel 4 mitochondrial	1.5	1.2	9.0	0.3
AW120614	Ero11-pending	ERO1-like S. cerevisiae	1.5	1.7	9.0	9.0
AI849432	Clcn3	chloride channel 3	1.5	1.8	9.0	6.0
AF103809	Ap3b1	adaptor-related protein complex AP-3 beta 1 subunit	1.5	1.2	9.0	0.3
A1853918	÷	ESTs Weakly similar to PRP3 MOLISE PROLINE-RICH PROTEIN MP-3 IM musculus]	1.5	0.8	9.0	-0.3

6-OHDA 0.1.2 Signal log ratio MPP^{\dagger} 6-OHDA fold change MPP 0.5 0.5 0.5 0.6 0.6 0.6 0.7 0.7 0.7 0.7 ESTs Highly similar to FARNESYL PYROPHOSPHATE SYNTHETASE MORF-related gene X ESTs Highly similar to FARNESYL PYROPHOSPHATE SYNTHETASE heat shock 70kD protein 5 glucose-regulated protein 78kD ESTs Weakly similar to open reading frame [M.musculus] mini chromosome maintenance deficient S. cerevisiae NAD P dependent steroid dehydrogenase-like RIKEN cDNA 0610038P07 gene dynein cytoplasmic light chain 1 low density lipoprotein receptor ribonucleotide reductase M2 squalene epoxidase fatty acid synthase GeneName Table 2. Transcripts decreased by MPP+ 0610038P07Rik Mrgx-pending GeneSymbol Hspa5 Nsdhl Duclc1 Mcmd Rrm2 Fasn Sqle 둳 GenBankID AW106745 AW227650 AW045533 AF020185 AA529583 AJ002387 AI848479 AI846851 Z19521 D42048 M21285 X13135 X54401 M14223 X62154

Signal log ratio

fold change

Table 3. Transcripts increased by both 6-OHDA and MPP*.

fold change Signal log ratio

by 6-OHDA
increased
Transcripts
Table 4.

6-OHDA MPP⁺		2.8 2.8 0.9			2.4 2.0																	1.4					1.2 -1.0										-		1.0 1.0	
MPP⁺	9.2 2.6	0.7 1.9	6.7	 ω π	 9.6	4.0	3.0	2.3	1.7	4.4	3.1	1.2	o. 6	0.0	5.0	3.2		1.1	2.1	0.8	0.7	0.0	4.1	2.1	1.0	0.8	0.5	7.0	 	7:7	 	0.0). - 	7.		- -	o o	5. 4.	1.9	1.0
6-OHDA	26.0	7.0	6.5	6.1 7.7		4.6	4.4	4.3	4.0	4.0	3.9	3.7	3.6	3.0		2.9	2.9	2.8	2.8	2.8	2.7	2.0 2.5	2.5	2.5	2.5	2.3	2.3	2.3	2.2	2.2	2.2	2.7	2.7	2.7	2.7			5.0 2.0	2.0	2.0
GeneName	DNA-damage inducible transcript 3 activating transcription factor 3	DNA j protein b9 seauestosome 1	homocysteine-inducible endoplasmic reticulum stress-inducible ubiquitin-like domain member 1	leukemia-associated gene-like	neme oxygenase decycling i p8 protein	CCAAT/enhancer binding protein C/EBP beta	growth differentiation factor 15	myeloid differentiation primary response gene 116		induced in fatty liver dystrophy 2	RIKEN cDNA 1500005G05 gene	RIKEN cDNA 3110025609 gene	ets variant gene 6 I EL oncogene	KIKEN CDNA 0610031F24 gene BIKEN CDNA 3220402M22 2020	has ebnot 70kD motein 5 Alucosa-semilated nortain 78kD	GTP indian arrivelin 2	protein tyrosine phosphatase non-receptor type 16	-	RIKEN cDNA 1200017E13 gene	ubiquitin C	RIKEN cDNA 1110002O23 gene	BCIZ-associated athanogene 3 BIKEN CDNA 1700015E05 gane	RIKEN cDNA 1810045K07 gene	lymphoid blast crisis-like 1	glutamate-cysteine ligase modifier subunit	tumor rejection antigen gp96	RIKEN cDNA 1700015E05 gene	•	antigen identified by monoclonal antibodies 4F2	cysteinyl-tRNA synthetase	cysteine rich protein	cysteine rich protein	SEC23B S. cerevisiae	growth arrest specific 5	KIKEN cDNA 150003ZE05 gene	SACZ supressor or actin mutations z nomolog like s. cerevisiae	P430 cytocriforne oxidoreduciase Riken chna 254000110 gene	FSTS Highly similar to SERYL-TRNA SYNTHETASE [Cricetulus griseus]	activating transcription factor 4	ubiquitin C
GeneSymbol	Ddit3 Atf3	Dnajb9 Sastm1	Herpud1	Lagl-pending	Hmox I P8-pendina	Cebbb	Gdf15	Myd116		IfId2	1500005G05Rik	3110025G09Rik	Etv6	0610031F24RiK	JENJE Henje	Gtnhn2	Ptpn16	ler3	1200017E13Rik	Ubc	1110002O23Rik	Bag3	1810045K07Rjk	Lbcl1	Gclm	Tra1	1700015E05Rik		Mdu1	Cars	Csrp	Csrp	Sec23b	Gas5	1500032E05Rik	Sacmiz	POr 2510001110Bik	AN 10 11 000 107	Aff4	Opc
GenBankID	X67083 U19118	AW120711 U40930	AI846938	AF105222	A56824 A1852641	M61007	AJ011967	X51829	AA684508	AA770736	AI839690	AW123904	AI845538	AW121716	AVV 122304	AW124369	X61940	X67644	AI838015	AV305832	AW122851	AI643420	AI839280	X95761	U95053	J03297	AW045202	AW122690	X14309	AI848732	AI837625	D88793	AI848343	AI849615	AI845293	AF100956	D1/5/1	A1837395	M94087	D50527

fold change Signal log ratio

OHDA
d by 6-(
ncrease
scripts i
4. Tran
Table

GeneSymbol	GeneName	6-OHDA	+_	6-ОНБА	, MPP
	Mus musculus 10 days embryo cDNA RIKEN full-length enriched library clone 2600002G09 full insert sequence	<u>.</u> დ. დ.	1.0	0. C.	0.1
	tryptophanyl-tRNA synthetase RIKEN CDNA 2600001 001 gene	<u>ლ</u> დ. ტ.	1.6 0.8	0. C.	0.7 -0.4
	thioredoxin reductase 1	6.7	0.7	1.0	1.0
	RIKEN cDNA 3110001N18 gene	<u>ر</u> ن و	6. 4.	o.o	0.5
	ESTS Highly similar to ALANYL-I KNA SYNTHETASE [Homo sapiens] avian reticuloendotheliosis viral v-rel oncodene homolog A		- 7	o. 0	0 0 0
	RIKEN cDNA 4922501H04 gene	1.9	1.1	6.0	0.2
	signal peptidase complex 18kD	1.9	6.0	6.0	-0.2
	solute carrier family 35 UDP-galactose transporter member 2	1.9	1.0	6.0	0.0
	ESTs	9.1	0.5	0.0	0.1
	RIKEN cDNA 2600001N01 gene	<u>ς</u> υ. α	0.0	o. o	ا د و
	III SEGNOTION ON A 2400002015 DENE	<u>, 6</u>	; ;	6.0	0.2
	HS1 binding protein	1.8	1.7	6.0	0.8
	guanine nucleotide binding protein G protein gamma 3 subunit	1.8	1.0	6.0	0.0
	RIKEN cDNA 1300009F09 gene	6 .	0.7	6.0	-0.5
	prion protein	1.8	4.	0.0	0.5
	aplysia ras-related homolog B RhoB	6 .	0. ;	0.0	, 0.4
	chloride channel 3	. . ∞	<u>ر</u> تن ا	0.0	9.0
	ESTs Highly similar to LEUCYL-TRNA SYNTHETASE CYTOPLASMIC [Saccharomyces cerevisiae]	<u>ر</u> 4 ∞ 1	7.7	D. 0	χ. ς Υ
	Mus musculus Similar to phosphoserine phosphatase clone MGC 7574 mKNA complete cds BIKEN รากค. วิจสภกรวงกฎ กลกล) · L	1.0	0.0	0.0
	Mus musculus 10 day old male pancreas cDNA RIKEN full-length enriched library clone 1810031C14	1.7	9.0	9.0	-0.3
	RIKEN cDNA 0610010112 gene	1.7	1.4	8.0	0.5
	RIKEN cDNA 2410015H04 gene	1.7	7.	8.0	0.1
	RIKEN cDNA 3010001M15 gene	7 . 7	9. 7	8.0	7.0
	EST X83328	J.,	4	Σ. α	ر. د ر
	Mus musculus mRNA for erythroid differentiation regulator partial). 7	4. 4	χ. α	ر د د
	processors processors - Coorganisates 4-droxygeriase promise 4-droxygeriase apria in polypopude polytoja mino politikanenostar	1.7	- C	0 0	, L
	iledua ariiiilo aco uarisporei X-linked lymphocyte-regulated 3b	1.7	1.0	0.8	0.1
	ESTs Moderately similar to hypothetical protein [H.sapiens]	1.7	[8.0	0.2
	Mus musculus adult male liver cDNA RIKEN full-length enriched library clone 1300018P04 full insert sequence	1.7	1.0	8.0	0.1
2310008M10Rik	RIKEN cDNA 2310008M10 gene	1.7	6.0	0.8	-0.2
	DNA segment Chr 6 Wayne State University 137 expressed	1.7	0.8	0.8	-0.3
	X-box binding protein 1	1.7	0.7	8.0	9.0
	ERO1-like S. cerevisiae	7:7	7.5	8.0	9.0
	heat shock protein cognate 70 testis	7:7	0.0 0.0	8.0	, 0.1
1110020C13Rik	RIKEN cDNA 1110020C13 gene). 7	7	ο. Ο α	. c
	KIKEN CUNA 1200003711 gene transmambrane protein 4	+	- 4	0.0	7 .0
1010001P14Rik	italisheringale protein 4 RIKEN CDNA 1010001P14 gene	1.7	5.	0.8	0.6
	membrane bound C2 domain containing protein	1.7	1.7	0.8	0.2
5830413E08Rik	RIKEN cDNA 5830413E08 gene	1.7	2.5		4.

fold change Signal log ratio

Table 4. Transcripts increased by 6-OHDA

Collegianic To Madamatoly similar to bynothatical protoin III canional
State University 115 expressed
coli proteolytic subunit homolog
eukaryotic translation initiation factor 4E binding protein 1
te resistance protein ARS2 [H.sapiens]
Mus musculus stress-associated endoplasmic reticulum protein 1 ribosome associated membrane protein 4
chloride intracellular channel 4 mitochondrial
proteasome prosome macropain 26S subunit non-ATPase 4
eukaryotic translation initiation factor 3 subunit 8 110 kDa
M.musculus mRNA for glutamyl-tRNA synthetase
ESTS Highly similar to PRE-MRNA SPLICING FACTOR PRP6 [Saccharomyces cerevisiae]
DNA segment Chr 7 Wayne State University 105 expressed
suppressor of initiator codon mutations related sequence 1 S. cerevisiae

Table 4. Tran	Table 4. Transcripts increased by 6-OHDA	6-OHDA	fold change	ange	Signal log ratio	ratio
GenBankID	GeneSymbol	GeneName	6-ОНDА	MPP⁺	6-OHDA	MPP
AF069954	Gng3lg	G protein gamma 3 linked gene Mus musculus 10 11 days embyo cDNA RIKEN full-lenoth enriched library clone 2810017N01 full insert sequence	د. د.	1.1	0.0	0.2
AI845237	Clic4	chloride intracellular channel 4 mitochondrial	1.5	4. 8.	9.0	6.0
AB025313	Uchl1	ubiquitin carboxy-terminal hydrolase L1	1.5		9.0	0.1
AI836034	1110003B01Rik	RIKEN cDNA 1110003B01 gene	1.5	1.0	9.0	0.
AW122255		ESTs Moderately similar to T00076 hypothetical protein KIAA0462 [H.sapiens]	1.5	1.3	9.0	0.4
AW122052		Mus musculus mRNA for N-acetylneuraminic acid 9-phosphate synthetase complete cds	1.5	9.0	9.0	-0.4
AI153421		Mus musculus mRNA for erythroid differentiation regulator partial	7.5	[:	9.0	0.2
X57349	T#L	transferrin receptor	1.5	6.0	9.0	-0.1
AB017189	Slc7a5	solute carrier family 7 cationic amino acid transporter y system member 5	1.5	6.	9.0	1.0
U11812	Ptorn	protein tvrosine phosphatase receptor-type N	1.5	[:	9.0	0.2
139879	- ==	ferritin light chain 1	1.5	[:	9.0	0.2
X51703	qqn	ubicuitin	1.5	1.0	9.0	0.1
AU020229	Fzd3	frizzled homolog 3 Drosophila	1.5	6.0	9.0	0.1
D87691	D6Ertd109e	DNA segment Chr 6 ERATO Doi 109 expressed	1.5	1.0	9.0	0.0

Table 5. Transcripts dec	nscripts decreasing	reasing with 6-OHDA.	fold cl	fold change	Signal log ratio	g ratio
GenBankID	GeneSymbol	GeneName	6-OHDA	MPP ⁺	6-OHDA	MPP⁺
M29260 X13135 AW046443	Fasn Tmpo	fatty acid synthase thymopoietin	0 0 0	0.50	2.5. 1.0. 1.0	0.0 -1.0
AF061260 AF009414	BI2-pending Sox11	inmunosuperiamily protein BIZ SRY-box containing gene 11	0.5 0.5	: .	 	
D14572 A1837302 M21285	Cbfb 1010001C05Rik	core binding factor beta RIKEN cDNA 1010001C05 gene	0.55	6.0 7.0	၈ ၈ ၈ ဝှ ဝှ ဝှ	0.5 0.5 7.0
AF022465 AI851599	Hmgb3	high mobility group box 3 ESTs Moderately similar to JC4928 histone H1x [H.sapiens]	0.6	8.0 0.0	6.0 0.0	-0.3
AI843895	Etohi6 Chfb	ethanol induced 6 core hinding factor beta	9.0	1.2	8 8 8	0.3
X66032	8	cyclin B2	9.0	1.	9.0-	0.2
AW125347	1810037117Rik	RIKEN cDNA 1810037117 gene RIKEN cDNA 11100381 14 gene	0.0	0.8 8.8	۵. خ ۵. «	တု ငှ
AW120755	10000	ESTs	9.0	6.0	9. 9. 9.	-
D86725	Mcmd2	mini chromosome maintenance deficient 2 S. cerevisiae	9.0	0.8	-0.7	4.0-
X75483	Ccna2	cyclin A2 GATA-hinding profein 2	0.0 9.0	0.0	-0.7 -	ا م د
D42048	Sqle	squalene epoxidase	0.6	0.6	-0.7	-0.7
AJ250723	9-Sep	septin 9	9.0	6.0	-0.7	-0.2
M35131	Nfh	neurofilament heavy polypeptide	0.6	8.0	7.0-	6. o
AW047671 A1194767	Pont	ESTS	0.7	χ. σ. σ. σ.	o 0 0 0	4. O
AF013166	Nek2	NIMA never in mitosis gene a related expressed kinase 2	0.7	1.0	9.0-	-0.1
AI839212	1810012N18Rik	RIKEN cDNA 1810012N18 gene	0.7	0.8	9.0-	-0.4
U00431	Hmgb1	high mobility group box 1	0.7	ω α ∞ α	9. c	0 را 4 د
A61333 M64068	Bmi1	B lymphoma Mo-MLV insertion region 1	0.7	0.	9.0	0.0
AI195392	3110023F10Rik	RIKEN cDNA 3110023F10 gene	0.7	6.0	9.0-	-0.2
X58196			0.7	1.3	-0.5	0.4
D26089	Mcmd4	mini chromosome maintenance deficient 4 homolog S. cerevisiae importin heta	0.7	1.0	0 0 0 0	9.0
AI837010	2	Mus musculus Pumilio 2 Pumm2 mRNA complete cds	0.7	. . .	-0.5	0.1
D90151	Hnrpab	heterogeneous nuclear ribonucleoprotein A/B	0.7	0.0	-0.5	-0.2
M58661 AI848984	Cd24a	CD24a antigen ESTs	0.7 0.7	0.0	o o c	-0.2 -0.1

Table 6. Transcripts Related to Programmed Cell Death

Gene	Control	MPP+	6-OHDA
caspase 1 caspase 2	absent	absent no change	absent no change
caspase 3 caspase 6	present absent	no change absent	no change absent
caspase 7	present	no change	no change
caspase 8	absent	absent	absent
caspase 9	present	no change	no change
caspase 11/4	absent	absent	absent
caspase 12	absent	absent	absent
caspase 14	absent	absent	absent
apaf1	present	no change	no change
bax	present	no change	no change
bak	present	no change	no change
bad	present	no change	no change
boo/diva	absent	absent	absent
bim/bod	present	no change	no change
dp5/hrk	absent	absent	absent
bok bid	present	decreased	decreased
	present present	no change no change	no change
bag1 bag2	present	no change	no change
bag3	present	no change	no change
bcl-2	absent	absent	absent
bcl-x	absent	absent	absent
bcl-w	absent	absent	absent
bcl-rambo	present	no change	no change

Parkinsonian Mimetics Induce Aspects of Unfolded Protein Response in Death of Dopaminergic Neurons*

Received for publication, November 20, 2002, and in revised form, February 19, 2003 Published, JBC Papers in Press, February 21, 2003, DOI 10.1074/jbc.M211821200

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Genes associated with Parkinson's disease (PD) have suggested a role for ubiquitin-proteasome dysfunction and aberrant protein degradation in this disorder. Inasmuch as oxidative stress has also been implicated in PD, the present study examined transcriptional changes mediated by the Parkinsonism-inducing neurotoxins 6-hydroxydopamine (6-OHDA) and 1-methyl-4-phenylpyridinium (MPP+) in a dopaminergic cell line. Microarray analysis of RNA isolated from toxin treated samples revealed that the stress-induced transcription factor CHOP/Gadd153 was dramatically up-regulated by both 6-OHDA and MPP+. Treatment with 6-OHDA also induced a large number of genes involved in endoplasmic reticulum stress and unfolded protein response (UPR) such as ER chaperones and elements of the ubiquitinproteasome system. Reverse transcription-PCR, Western blotting, and immunocytochemical approaches were used to quantify and temporally order the UPR pathways involved in neurotoxin-induced cell death. 6-OHDA, but not MPP+, significantly increased hallmarks of UPR such as BiP, c-Jun, and processed Xbp1 mRNA. Both toxins increased the phosphorylation of UPR proteins, PERK and eIF2α, but only 6-OHDA increased phosphorylation of c-Jun. Thus, 6-OHDA is capable of triggering multiple pathways associated with UPR, whereas MPP+ exhibits a more restricted response. The involvement of UPR in these widely used neurotoxin models supports the role of ubiquitin-proteasome pathway dysfunction in PD.

Parkinson's disease (PD)¹ involves an irreversible degeneration of the dopaminergic nigrostriatal pathway, resulting in marked impairments of motor control. Although the etiology of PD remains unknown, both genetic and environmental factors appear to play a role. For example, three genes and several putative loci have been identified (1), including two autosomal dominant mutations of the α -synuclein gene, that were linked to rare familial early-onset PD (2, 3). α -Synuclein was subse-

*This work was supported by NIH Grant NS39084 and Department of Defense Grant DAMD170110777. The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

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¹ The abbreviations used are: PD, Parkinson's disease; 6-OHDA, 6-hydroxydopamine; MPP⁺, 1-methyl-4-phenylpyridinium; UPR, unfolded protein response; RT, reverse transcription; ER, endoplasmic reticulum; MPTP, N-methyl-4-phenyl-1,2,3,6-tetrahydroyridine; PBS, phosphate-buffered saline; PERK, PKR-like ER kinase; SAPK, stress-activated protein kinase; JNK, c-Jun N-terminal kinase; ANOVA, analysis of variance; TH, tyrosine hydroxylase; eIF, eukaryotic initiation factor.

quently shown to be the major component of Lewy bodies, the hallmark inclusion of PD (4). Parkin, a second gene with mutations associated with PD (5), has been shown to be an ubiguitin-protein isopeptide ligase (6). Loss of Parkin activity is linked to endoplasmic reticulum (ER) stress and unfolded protein response (UPR; Refs. 7 and 8). Finally, a missense mutation in the gene encoding ubiquitin C-terminal hydrolase L1 is also associated with rare cases of PD (9). Thus, aggregation of α-synuclein together with defects in the ubiquitin pathway support the notion that a dysfunctional ubiquitin-proteasome system in which aberrant proteins are not cleared may play a major role in PD. The role of proteasomal impairment has been further emphasized by recent reports that pharmacological inhibition of proteasome function leads to selective degeneration of dopaminergic neurons in culture (10) as well as in vivo (11). In particular, cell death was associated with increased cytoplasmic levels of α -synuclein and ubiquitin, as well as the formation of inclusion bodies (10, 11). Taken together, accumulating genetic and molecular evidence suggests that defects in ER and ubiquitin-proteasomal processing contribute to the pathogenesis of PD.

Because PD is largely restricted to dopaminergic neurons and because dopamine is easily oxidized in vitro and in vivo to a variety of neurotoxic metabolites, dopamine itself is considered a major factor in this disorder. For example, dopamine is readily oxidized to highly cytotoxic quinone molecules via at least three different enzymatic pathways (for review see Ref. 12). Moreover, in the presence of transition metals and hydrogen peroxide, dopamine can be converted to 6-OHDA (for review see Ref. 13), a highly potent endogenous neurotoxin widely used to create animal models of PD (13). Both 6-OHDA and other dopamine quinine derivatives have been found in post-mortem Parkinsonian brains (14–16), a finding that, together with the extensive studies documenting 6-OHDA-induced nigral degeneration, underscores the role dopamine plays in its own demise.

Similarly, another PD mimetic, N-methyl-4-phenyl-1,2,3,6tetrahydroyridine (MPTP) or its active derivative, MPP+, is also thought to induce oxidative stress and impair energy metabolism (for review see Ref. 17). The original finding that human exposure to MPTP results in PD (18) has been replicated in various animal models including non-human primates (for review see Ref. 17). Thus, both 6-OHDA and MPP⁺ have been shown to produce reactive oxygen species and to inhibit mitochondrial complex I, as well as to mimic many behavioral, pharmacological, and pathological symptoms of this disorder (for review see Refs. 13, 17, and 19). Despite these parallels, the molecular mechanisms by which these neurotoxins kill cells remain unclear. Further, their relevance to emerging genetic and pharmacological models investigating ubiquitin-proteasome pathway dysfunction and protein aggregation has yet to be studied.

Previous results from this laboratory and others have demonstrated that 6-OHDA and MPP⁺ trigger morphologically distinct forms of cell death in the dopaminergic cell line MN9D and mouse primary mesencephalic cultures (13, 20, 21). Markers of apoptosis such as chromatin condensation and caspase-3 cleavage are widespread in cells treated with 6-OHDA, but not with MPP⁺. Despite the different forms of cell death induced by either toxin, both types of cell death seem to be dependent on *de novo* protein synthesis (22, 23). However, few studies of gene expression in 6-OHDA or MPP⁺-induced dopaminergic cell death models have been done. Presumably, this is a result of the scarcity and heterogeneity of the tissue involved as well as the technical limitation in analyzing a few genes at a time. Thus, at present, there is no information about the coordinated patterns of gene expression involved in 6-OHDA or MPP⁺ toxicity.

To unravel biological processes occurring in response to 6-OHDA and MPP+, we used microarray analysis of RNA isolated from the dopaminergic cell line MN9D (24) as a starting point to identify possible pathways induced by these Parkinsonian mimetics. These cells have been shown to mimic many aspects of the dopaminergic cell type from which they were immortalized (20-25). Capitalizing on the homogeneity and similarity in response of MN9D cells, the present study used microarray results, in addition to RT-PCR, Western blotting, and immunocytochemical approaches, to reveal that 6-OHDA triggers three separate signaling pathways associated with ER stress and UPR, whereas MPP+ seems to only involve one such signaling pathway. The unexpected identification of UPR induction in these models of dopaminergic cell death increases our understanding of how they may function to mimic the disease state and supports the theory that aberrations in the ubiquitin-proteasome pathway play an important role in PD.

MATERIALS AND METHODS

Cell Cultures—For primary cultures, the ventral mesencephalon was removed from embryonic day 14 CF1 murine embryos (Charles River Laboratories, Wilmington, MA) as described previously (21). Briefly, tissues were mechanically dissociated, incubated with 0.25% trypsin and 0.05% DNase in PBS for 20 min at 37 °C, and further triturated using a constricted Pasteur pipette. All plates were pre-coated overnight at room temperature with 0.5 mg/ml poly-D-lysine (Sigma) followed by 2.5 μ g/ml laminin (BD Biosciences, San Jose, CA) for 2 h at 37 °C. Cells were maintained in serum-free Neurobasal medium (Invitrogen) supplemented with 1× B27 supplement (Invitrogen), 0.5 mM L-glutamine (Sigma), and 0.01 μ g/ml streptomycin plus 100 units of penicillin. Half of the culture medium was replaced with fresh Neurobasal medium on the third and fifth day following plating. All experiments were conducted after 6 days in vitro.

MN9D cells were plated on dishes coated with 0.5 mg/ml poly-D-lysine for 1 h at 37 °C and then rinsed with sterile $\rm H_2O$. Cells were maintained in Iscove's Dulbecco's modified Eagle's medium with 10% fetal bovine serum in an incubator with 10% CO $_2$ at 37 °C. Cells were switched to serum-free Iscove's Dulbecco's modified Eagle's medium/ F-12 supplemented with $1\times$ B27 prior to addition of experimental agents.

Cycloheximide Treatment and Determination of Cell Viability—MN9D cells were plated at a density of 40,000 cells/well in 24-well plates and treated after 3 days. One $\mu g/ml$ cycloheximide (Calbiochem, La Jolla, CA) was added either immediately prior to, or at times following, addition of 100 μ M 6-OHDA with ascorbic acid (dissolved in boiled water; Sigma) or 75 μ M MPP+ (Sigma). After 48 h, cell survival was assessed using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide reduction assay as previously described (22).

Microarray Analysis—MN9D cells were plated at a density of 200,000 cells/well in six-well plates. After 3 days, cells were treated with 75 $\mu \rm M$ 6-OHDA or 75 $\mu \rm M$ MPP+, or left untreated for control comparisons. Total RNA was isolated after 9 h of neurotoxin treatment using an RNeasy kit (Qiagen, Valencia, CA) according to the protocol from the manufacturer. Equal amounts of total RNA from three independent neurotoxin treatments were pooled together for each GeneChip hybridization experiment. Two separate GeneChip hybridizations of

pooled, treated, and control RNA were performed, representing six independent experiments. A minimum of 20 μ g/sample of total RNA was sent to the Alvin J. Siteman Cancer Center GeneChip Core Facility (Washington University, St. Louis, MO) for generation of labeled cRNA target and hybridization against Affymetrix Murine Genome U74Av2 GeneChip arrays (Santa Clara, CA) using standard protocols (pathbox.wustl.edu/~mgacore). Data were analyzed by Affymetrix Microarray Suite version 5.0, as well as Spotfire Decision Site for Functional Genomics (Somerville, MA). For those transcripts designated both "present" and "increasing" in each replicate by the software, a threshold of an average signal log ratio greater than 0.5 (~1.5-fold change) was set. Transcripts for which signal was less than 3% of the maximum signal were filtered out.

Reverse Transcription-PCR—MN9D cells were plated and treated exactly as described for microarray experiments. Total RNA was extracted after 1, 3, 6, 9, and 12 h. Primers to 18 S ribosomal RNA (26) were used to standardize amounts of RNA in each sample. RNA was reverse transcribed using gene-specific reverse primers, and resulting cDNAs were PCR-amplified. PCR primer sequences used were: CHOP (+) and CHOP (-) described in Ref. 27, BiPFwd (TGACTGGAATTC-CTCCTGCT) and BiPRev (AGTCTTCAATGTCCGCATCC), c-junFwd (GCTGAACTGCATAGCCAGAA) and c-junRev (CTTGATCCGCTCCTGAGACT), and Xbp1Fwd (TAGAAAGAAAGCCCGGA TGA) and Xbp1Rev (CTCTGGGGAAGGACATTTGA). PCR products were resolved on a 4% PAGE gel and analyzed with Vistra Green (Amersham Biosciences) detection and quantitative fluoroimaging.

Western Blot Analysis—For MN9D Western blots, cells were plated and treated exactly as described for microarray experiments. For primary culture Western blots, 600,000 cells/well were plated in six-well plates and treated on the 6th day in vitro with 40 μ M 6-OHDA or 1 μ M MPP+ (21). MN9D lysates were taken at 1, 3, 6, 9, and 12 h, and primary lysates were taken at 6 and 12 h. Cells were washed once with PBS and harvested in ice-cold radioimmune precipitation assay buffer (150 mm NaCl, 1% Nonidet P-40, 0.5% NaDoc, 0.1% SDS, 50 mm Tris, pH 8.0) with protease inhibitor mixture (Roche, Mannheim, Germany) and placed on ice for 30 min. Insoluble cell debris was removed by centrifugation, and the protein concentration of cell lysates was determined by the Bio-Rad protein assay. Equal amounts of protein were run on SDS-PAGE gels and then transferred to polyvinylidene difluoride membranes (Bio-Rad). Mouse monoclonal antibody against CHOP/ Gadd153 (1:100) and goat polyclonal antibodies against Hsp60 (1:500) and BiP/Grp78 (1:125) were purchased from Santa Cruz Biotechnologies (Santa Cruz, CA). Rabbit polyclonal antibodies against cleaved caspase-3, phospho-c-Jun, phospho-eIF2α, and phospho-PERK (all 1:1,000) were purchased from Cell Signaling Technologies (Beverly, MA). After incubation with appropriate primary and horseradish peroxidase-conjugated secondary antibodies (anti-mouse 1:5000, Sigma; anti-goat 1:5000, Jackson Immunoresearch, West Grove, PA; or antirabbit 1:2000, Cell Signaling Technologies), specific protein bands were detected and analyzed by enhanced chemiluminescence substrate detection (ECL Plus; Amersham Biosciences) and quantitative fluoroimaging.

Immunocytochemistry-MN9D cells were plated at a density of 300,000 cells/well on a four-well chamber slide. Twelve hours after plating, cells were treated with 75 µm 6-OHDA or 75 µm MPP+ and fixed 12 h later with 4% paraformaldehyde in PBS. Primary culture cells were plated at a density of 100,000 cells/35-mm microwell plate $(1.25 \times 10^3 \text{ cells/mm}^2; \text{MatTek Corp., Ashland, MA})$. On day 6 in vitro, cells were treated with 40 μ M 6-OHDA or 1 μ M MPP+, and fixed after 12, 18, or 24 h with 4% paraformaldehyde in PBS. Cultures were double-stained with either mouse monoclonal anti-CHOP (1:300) or rabbit polyclonal anti-phospho-c-Jun (1:500), together with rabbit polyclonal (1:500; Pel-Freez, Rogers, AR) or mouse monoclonal (1:2,500; Immunostar, Hudson, WI) antibodies against the dopaminergic neuron marker TH, respectively. Secondary antibodies conjugated with Cy3 (anti-mouse and anti-rabbit 1:300) and Alexa488 (anti-mouse 1:500; anti-rabbit 1:2000) were used. Cells were imaged using an Olympus Fluoview confocal microscope.

Statistics—GraphPad Prism software (San Diego, CA) was used for statistical analysis. The significance of effects between control and drug conditions was determined by one-way ANOVA as indicated and post hoc Dunnett's multiple comparison tests (GraphPad Prism software).

RESULTS

Cell Death Induced by 6-OHDA and MPP⁺ Is Blocked by Inhibition of Macromolecular Synthesis—Previous studies have characterized 6-OHDA-induced cell death as a caspase-

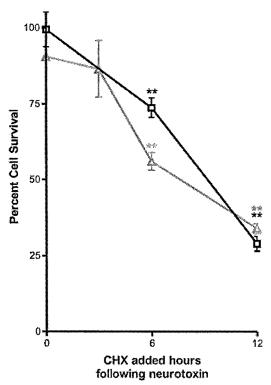


Fig. 1. New protein synthesis is required for MPP*- and 6-OHDA-induced cell death. MN9D cells were treated with 100 $\mu\rm M$ 6-OHDA (squares) or 75 $\mu\rm M$ MPP* (triangles). One $\mu\rm g/ml$ cycloheximide (CHX) was added either immediately prior to or at various times following neurotoxin addition. Cell survival was determined by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay and expressed as a percentage of survival compared with control cultures treated with cycloheximide alone. Values represent mean \pm S.E., n=4. **, p<0.01 compared with control (one-way ANOVA with post-hoc Dunnett's multiple comparison test). Error bars of less than 2% are buried in the symbol.

dependent, apoptotic process, whereas MPP+-induced cell death can occur independent of caspase activation, and without canonical markers of apoptosis (13, 20, 21, 28). Some forms of apoptotic and non-apoptotic cell death require de novo synthesis of cell death proteins (29, 30), whereas others do not (31, 32). To determine whether 6-OHDA- or MPP+-induced cell death require de novo macromolecular synthesis, cultures were treated with the protein synthesis inhibitor cycloheximide. Addition of 1.0 μ g/ml cycloheximide together with 100 μ M 6-OHDA or 75 μ M MPP+ provided significant protection. In contrast, delaying addition of cycloheximide following neurotoxin treatment resulted in increasing cell death in a time-dependent manner (Fig. 1). These data indicate that, although 6-OHDA induces an apoptotic form of cell death and MPP+ does not, both types of cell death require de novo protein synthesis. Therefore, it may be possible to identify changes in gene expression associated with the cell death process.

Microarray Analysis Identifies Distinct Changes in Gene Expression following 6-OHDA and MPP $^+$ Treatment—Microarray analysis was used to examine the expression profile of a large number of transcripts. Out of the \sim 12,000 genes and expressed sequence tags represented on the MG-U74Av2 GeneChip, 4,304 (\sim 35% of total) were defined as "present" by the microarray analysis software for MPP $^+$ -treated samples. Similarly, 4,580 (\sim 37% of total) were defined as present for 6-OHDA-treated samples. Transcripts were subsequently grouped by individual toxin treatment, or by both 6-OHDA and MPP $^+$ (Fig. 2). Notably, 6-OHDA treatment affected almost three times as many transcripts as MPP $^+$. Specifically, 153 transcripts in-

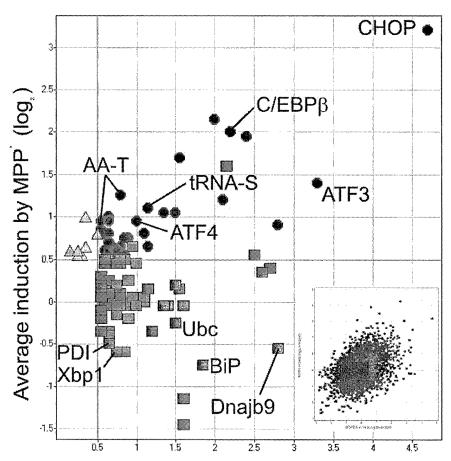
creased in response to 6-OHDA, whereas only 55 transcripts increased in response to MPP+. Results for decreasing transcripts were similar (data not shown). Both neurotoxins induced a number of the same transcripts, with 39 of the 55 transcripts induced by MPP+ also induced by 6-OHDA (Table I). These included genes involved in cell cycle and/or differentiation, signaling, stress, and transcription factors, indicating possible common cell death mechanisms. The most highly induced transcript in response to either treatment was that to the stress protein CHOP/Gadd153. 6-OHDA also induced a large number of transcripts that were unchanged by MPP+ treatment, including molecular chaperones and other genes involved in protein folding, trafficking, and the ubiquitin-proteasome pathway (Table II). These results support previous findings showing that MPP+ and 6-OHDA promote distinct yet overlapping programs of cell death.

CHOP Is Induced in Response to 6-OHDA and MPP+—To confirm the microarray findings that CHOP mRNA was upregulated by 6-OHDA and MPP+ in MN9D cells, RT-PCR was performed (Fig. 3A). 6-OHDA induced a large and rapid induction of CHOP mRNA that peaked between 6 and 9 h. MPP⁺ induction of CHOP mRNA lagged behind that of 6-OHDA, but continued to increase for at least 12 h (Fig. 3, A and C). These data are consistent with the GeneChip results from a 9-h time point showing greater induction with 6-OHDA than with MPP+ (Fig. 2 and Table I). Western blotting of MN9D total cell lysates confirmed that levels of CHOP protein were also increasing (Fig. 3, B and C). Again, 6-OHDA induced a larger and more rapid increase in protein expression than did MPP+ (Fig 3C). To visualize CHOP induction in situ (Fig. 3D), treated cells were fixed, stained, and imaged using confocal microscopy. Control cultures had dim, diffuse staining, whereas both 6-OHDA and MPP+ treated cells showed intense nuclear staining. This localization is consistent with the role of CHOP as a transcription factor. Together, these results confirm and extend the GeneChip findings that toxin treatment of dopaminergic cells leads to an up-regulation of CHOP mRNA and protein levels.

RT-PCR Reveals Markers of Unfolded Protein Response Are Up-regulated by 6-OHDA and MPP+ Treatment—CHOP is upregulated by a variety of cellular stresses including ER stress (27, 33-35). Following confirmation of CHOP induction, further analysis of GeneChip results revealed a pattern of induction of other stress-induced genes including many involved in UPR (Fig. 2, Tables I and II). These included molecular chaperones such as BiP/Grp78 and UPR-induced transcription factors other than CHOP (Atf4 and Xbp1). To examine the role that UPR may play in 6-OHDA and MPP+ toxicity, induction of these transcripts was verified by RT-PCR (Fig. 4, A and B). BiP is an ER-resident chaperone protein central to UPR (36). Levels of BiP mRNA were increased greater than 2-fold over control from 6 to 12 h following 6-OHDA exposure. BiP expression, however, decreased slightly in response to MPP+ exposure over 12 h. These results were consistent with GeneChip results at 9 h for both 6-OHDA and MPP+ (Table II). Although not specific to ER stress, activation of the c-Jun N-terminal kinase/ stress-activated protein kinase pathway (JNK/SAPK) occurs during UPR (37, 38). Expression of c-Jun mRNA was increased rapidly by 6-OHDA and then maintained at levels 5-6-fold that of control from 3 to 12 h following exposure. MPP+ treatment resulted in a rapid induction of c-Jun mRNA to 3-fold that of control at 1 h, identical to exposure to 6-OHDA. However, MPP+ induction of c-Jun mRNA was not sustained and returned to control levels by 9 h.

Another feature of the UPR pathway is the non-conventional removal of 26 base pairs of Xbp1 mRNA by the ER membrane

Fig. 2. Microarray analysis reveals both common and distinct transcriptional changes induced by 6-OHDA and MPP+. Total RNA from MN9D cells treated with 6-OHDA or MPP+ in addition to untreated control was used for Affymetrix MG-U74Av2 GeneChip array probe hybridization. Data were analyzed by Affymetrix Microarray Suite version 5 as well as Spotfire Decision Site for Functional Genomics. Transcriptional changes were defined as described in the text. Large plot shows known genes induced by 6-OHDA or MPP+ treatment plotted as average -fold induction on the x axis and y axis, respectively, with a scale of log₂. Several genes of interest have been labeled (see Tables I and II for abbreviations used). Independent of their position on the plot, genes were grouped according to those induced by 6-OHDA but not induced by MPP+ (green squares), those induced by MPP+ but not induced by 6-OHDA (gray triangles), or those induced by both 6-OHDA and MPP+ (blue circles). Inset shows all ~12,000 genes represented on the Affymetrix MG-U74Av2 GeneChip. Red points represent the 169 increasing genes identified as described in text.



Average induction by 6-OHDA (log₂)

Table I Genes increased by both 6-OHDA and MPP $^+$ Table lists 18 of 39 transcripts increased by both 6-OHDA and MPP $^+$.

Gene symbol	0	Change	
	Gene name	6-OHDA	MPP
		fold	
Gadd153	CHOP/Gadd153	26.0	9.2
Atf3	Activating transcription factor 3	9.8	2.6
Cebpb	CCAAT/enhancer-binding protein C/EBP-β	4.6	4.0
Sqstm1	Sequestosome 1	7.0	1.9
Myd116	Myeloid differentiation primary response gene 116	4.3	2.3
Gtpbp2	GTP-binding protein 2	2.9	3.2
Lbcl1	Lymphoid blast crisis-like 1 (cell growth and maintenance)	2.5	2.1
Cars	Cysteinyl-tRNA synthetase	2.2	2.1
Slc1a4	Neutral amino acid transporter	1.7	2.4
Atf4	Activating transcription factor 4	2.0	1.9
Gas5	Growth arrest-specific 5	2.1	1.7
Eif4ebp1	Eukaryotic translation initiation factor 4E binding protein 1	1.6	2.0
Slc7a5	Solute carrier family 7 cationic amino acid transporter	1.5	1.9
Glyt1	Glycine transporter 1	1.6	1.7
Clic4	Chloride intracellular channel 4 mitochondrial	1.5	1.8
Clcn3	Chloride channel 3	1.8	1.5
Wars	Tryptophanyl-tRNA synthetase	1.6	1.6
Hspa9a	Heat shock protein cognate 74 (mitochondrion)	1.6	1.5

resident protein, ${\rm Ire1}\alpha/\beta$, under conditions of ER stress (39, 40). Moreover, levels of unprocessed Xbp1 mRNA are also increased by ER stress. In response to 6-OHDA but not MPP⁺, Xbp1 was induced almost 2-fold according to the GeneChip analysis (Fig. 2, Table II). To determine whether Xbp1 mRNA was processed, primers flanking the excised portion of Xbp1 mRNA were used to reveal a shift in size of the RT-PCR product

(Fig. 4A). As indicated in Fig. 4B, 6-OHDA produced a large, transient induction of processed Xbp1 mRNA peaking at 3-6 h and returning to near control levels after 12 h. In contrast, MPP⁺ treatment resulted in a sustained inhibition of Xbp1 mRNA processing from 3 to 12 h.

Western Blotting Reveals Markers of Unfolded Protein Response Are Up-regulated by 6-OHDA and MPP⁺ Treatment—

TABLE II

Genes increased by 6-OHDA only

Table liete 91	of 114 transcripts	ingrouped by	6.OHDA 3	but not by MPP^+ .
Table lists 41	OF TITE MAINSCRIPES	micreased by		Dut Hot by Mirr.

Gene symbol		Change	
	Gene name	6-OHDA	MPP+
		fold	
Dnajb9	DNA j protein b9	7.0	0.7
Herpud1	ER stress-inducible ubiquitin-like domain member 1	6.5	1.3
Hmox1	Heme oxygenase decycling 1	5.7	1.5
Hspa5	Bip/Grp78	3.0	0.5
$U\bar{b}c$	ubiquitin C	2.8	0.8
Bag3	Bcl2-associated athanogene 3 (cytosol anti-apoptotic)	2.6	1.0
Tra1	Tumor rejection antigen gp96 (chaperone calcium binding)	2.3	8.0
Sec 23b	SEC23B Saccharomyces cerevisiae (ER intracellular protein trafficking)	2.1	1.0
Por	P450 cytochrome oxidoreductase	2.1	1.0
Txnrd1	Thioredoxin reductase 1	1.9	1.0
Spc18	Signal peptidase complex 18-kDa	1.9	0.9
Arhb	Aplysia Ras-related homolog B RhoB	1.8	1.0
Xbp1	X-box-binding protein 1	1.7	0.7
Hsc70t	Heat shock protein cognate 70 testis	1.7	0.9
Sec 23a	SEC23A S. cerevisiae (ER intracellular protein trafficking)	1.6	1.0
Ppib	Peptidylprolyl isomerase B	1.6	1.0
$\hat{G}rp58$	grp58 kDa (protein-disulfide isomerase)	1.6	0.7
Psmd4	Proteasome 26 S subunit, non-ATPase, 4	1.5	1.1
S100a10	Calcium-binding protein A11 calgizzarin	1.5	0.9
Sec61g	SEC61 gamma subunit S. cerevisiae	1.5	0.9
$Uch1\overline{1}$	Ubiquitin C-terminal hydrolase L1	1.5	1.1

Induction of the UPR pathway triggers not only transcriptional changes, but also involvement of protein kinase signaling pathways. One such pathway is that of JNK/SAPK, activation of which leads to phosphorylation of c-Jun (37, 38). In addition to changes in c-Jun mRNA expression (Fig. 4, A and B), Western blot analysis using antibodies against phospho-c-Jun indicated that 6-OHDA administration increased phosphorylation of c-Jun ~6-fold over control levels at 9–12 h (Fig. 5, A and B). In contrast, treatment with MPP+ induced a transient increase of phosphorylated c-Jun at 3 h, returning to control levels by 6–9 h. These data are consistent with the RT-PCR results indicating a slight, early MPP+ mediated increase in c-Jun mRNA that was not sustained (Fig. 4, A and B). Taken together these results indicate that cellular responses to 6-OHDA led to the activation of the JNK/SAPK pathway.

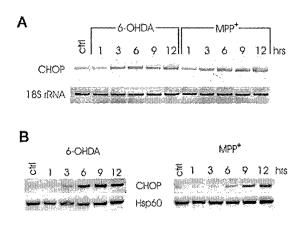
Another consequence of UPR is translational attenuation caused by phosphorylation of eIF2α by the ER membrane resident kinase PERK. Western blotting using antibodies against phospho-eIF2α revealed that both 6-OHDA- and MPP+-mediated toxicity resulted in eIF2 α phosphorylation (Fig. 5, A and B). Specifically, MPP+ exposure induced a rapid, transient response, whereas 6-OHDA exposure resulted in sustained phosphorylation of eIF2 α from 3 to 12 h. The eIF2 α kinase PERK is itself activated by phosphorylation, and Western results indicated that MPP+ induced PERK phosphorylation in a profile almost identical to eIF2 α phosphorylation. In contrast, PERK phosphorylation induced by 6-OHDA exhibited delayed kinetics, staying at baseline levels for 3 h following treatment, and then rising 3-fold over the next 9 h. BiP protein levels showed a slight increase over 12 h with 6-OHDA treatment, but not with MPP+ (Fig. 5A), again consistent with both GeneChip and RT-PCR data. In accordance with previous reports that 6-OHDA induced apoptosis (20, 21), but MPP+ does not, activated caspase-3 was detected only in 6-OHDA-treated cultures (Fig. 5A). Collectively, these data reveal that many components of UPR, including multiple signaling pathways, were up-regulated in response to 6-OHDA toxicity. In contrast, treatment with MPP+ led to the up-regulation of some, but not all, markers of UPR. Thus, MPP+ may ultimately lead to dopaminergic cell death by a pathway that is at least partially independent of UPR.

6-OHDA, but Not MPP⁺, Induces Components of the UPR Pathway in Primary Mesencephalic Cultures—To determine whether UPR induction could be observed in primary mesencephalic cultures following neurotoxin treatment, Western blot analysis and immunocytochemistry were performed. Similar to results from the dopaminergic MN9D cells, 6-OHDA increased levels of CHOP protein at 6 and 12 h (Fig. 6A). 6-OHDA also increased phosphorylation of eIF2 α and c-Jun. In contrast, none of the markers seen in the dopaminergic cell line were up-regulated in mesencephalic cultures treated with MPP⁺. Neither 6-OHDA nor MPP⁺ induced significant changes in levels of BiP protein over 12 h (data not shown).

Immunostaining of primary cultures with CHOP and phospho-c-Jun antibodies allowed individual dopaminergic neurons to be examined via co-staining with TH. 6-OHDA-treated cultures displayed intense nuclear staining of CHOP in both dopaminergic neurons as well as in many other cell types. Cultures treated with MPP+ did not appear different from controls in overall expression of CHOP, nor was CHOP induction detected in dopaminergic neurons over a 24-h period. Similarly, increased expression of phospho-c-Jun was widespread with 6-OHDA treatment in both dopaminergic and non-dopaminergic neurons, whereas there was no obvious change in phosphorylation of c-Jun following MPP+ administration. Taken together, these results suggest that MPP+ can induce a partial UPR response in the MN9D cell line but not in cultured dopaminergic neurons. In contrast, 6-OHDA induces a broad spectrum of UPR responses in both MN9D cells as well as in dissociated dopaminergic neurons. Thus, these cells will serve as a useful model in determining the temporal and molecular events associated with 6-OHDA neurotoxicity.

DISCUSSION

Accumulating evidence suggests that ER stress induced by aberrant protein degradation plays a role in PD. Beginning with a functional genomics approach to identify transcriptional alterations in a well characterized model of 6-OHDA and MPP+ toxicity, the present study identified numerous changes in genes associated with UPR. Notably, a major target of the UPR pathway, the transcription factor CHOP, was dramatically upregulated at both the mRNA and protein levels by either 6-OHDA or MPP+. Moreover, 6-OHDA activated numerous other markers of UPR including BiP, splicing of Xbp1 mRNA, the JNK/SAPK pathway, as well as proteins involved in the



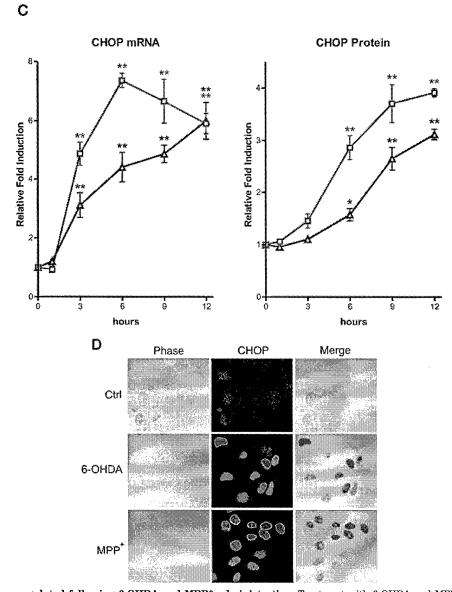


Fig. 3. CHOP is up-regulated following 6-OHDA and MPP⁺ administration. Treatment with 6-OHDA and MPP⁺ increased levels of CHOP mRNA isolated from MN9D cells as detected by RT-PCR (A) and levels of CHOP protein isolated from MN9D cells as detected by Western blot analysis (B). Equivalent loading was monitored by 18 S rRNA and Hsp60, respectively. C, quantification of CHOP mRNA and protein induced by 6-OHDA (squares) and MPP⁺ (triangles) was performed as described in text. Values represent mean \pm S.E. of triplicate RT-PCRs and Western blots. *, p < 0.05; **, p < 0.01 compared with untreated control (one-way ANOVA with post-hoc Dunnett's multiple comparison test). Error bars of less than 2% are buried in the symbol. D, MN9D cells were fixed after 12 h of neurotoxin treatment and stained with an antibody against CHOP. Left panels are phase bright images showing the morphology of MN9D cells. Middle panels show CHOP immunostaining. Nuclear localization of CHOP can be observed in the merged right panels.

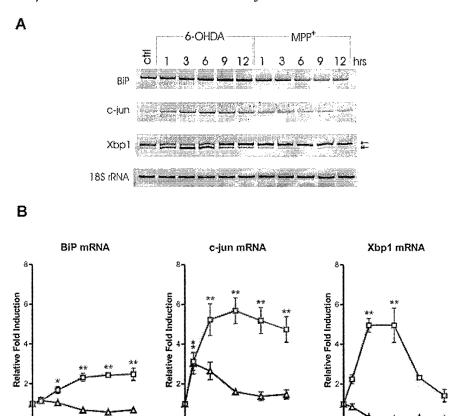
12

hours

Fig. 4. 6-OHDA, but not MPP+, induced transcriptional changes downstream of Irelα/β and ATF6 UPR pathways. A, total RNA was isolated from MN9D cells treated with 6-OHDA and MPP+ and used for reverse transcription and semiquantitative RT-PCR using primer pairs to BiP/Grp78, c-Jun, and Xbp1. RT-PCR products were separated on a 4% PAGE gel and visualized with Vistra Green staining. Equal loading was monitored by 18 S rRNA RT-PCR. Xbp1 RT-PCR resulted in two products representing the unprocessed (upper; single arrowhead) and processed (lower; double arrowhead) forms of Xbp1 mRNA. B, quantification of RT-PCR products induced by 6-OHDA (squares) and MPP+ (triangles) was performed as described in text. Values represent mean ± S.E. of triplicate RT-PCRs. *, p < 0.05, **, p < 0.01 compared with untreated control (one-way ANOVA with post-hoc Dunnett's multiple comparison test). Error

bars of less than 2% are buried in the

symbol.



hours

attenuation of translation such as PERK and eIF2 α . In contrast, MPP⁺ effects appeared restricted to events associated with PERK and eIF2 α phosphorylation. In confirmation of these cell line results, 6-OHDA also triggered UPR responses in primary cultures of dopaminergic neurons. Collectively these data emphasize that 6-OHDA and MPP⁺ induce distinct cell death responses. Inasmuch as 6-OHDA is widely used to create animal models of PD, the present findings further support the notion that ER stress and ubiquitin-proteasome dysfunction is associated with this disorder.

Biological Sequelae Associated with PD Mimetics—Oxidative stress and mitochondrial dysfunction have long been implicated in PD (41). Because of this, two neurotoxins exhibiting specificity toward dopaminergic neurons, 6-OHDA and MPP⁺, are commonly used to model nigral degeneration. 6-OHDA is a potent inducer of oxidative stress that can be endogenously converted from dopamine (13). Dopamine quinone derivatives including 6-OHDA have been found in post-mortem PD brains (14-16), implicating dopamine itself as a factor in this disorder. MPTP was originally identified because accidental human exposure led to PD (18, 42). MPTP, and its active metabolite MPP+, are also thought to induce oxidative stress in addition to inhibiting mitochondrial function (17). The discovery that mutations in α -synuclein (2, 3), parkin, and UCH-L1 (5, 9, 43, 44) are associated with PD led to the recognition that impaired protein degradation is also an important factor in this disorder. Mechanistically, however, it is still unclear what the common thread is among these seemingly disparate cellular responses.

The present study utilized gene expression profiling to assess thousands of genes to obtain a more detailed understanding of the molecular programs utilized by dopaminergic cells in response to 6-OHDA and MPP⁺. Two important outcomes from this study include the identification of a previously unsus-

pected link between these known oxidative stress inducers and aspects of ER stress/UPR, as well as the identification of at least a subset of common transcriptional changes associated with toxin-mediated events. The latter observation emphasizes the overlapping yet divergent nature of cell death in response to 6-OHDA *versus* MPP⁺.

hours

Commonality in response to 6-OHDA and MPP⁺ is highlighted by the finding that the most highly induced transcript by either toxin was CHOP, a stress-induced transcription factor implicated in cell death (34, 45). The temporal and spatial up-regulation of CHOP was confirmed and extended by RT-PCR, Western blot analysis, and immunocytochemistry (Fig. 3). In support of the present findings, microarray analysis of MPP⁺-treated SH-SY5Y cells also resulted in an up-regulation of CHOP, albeit with a much later, more prolonged time course (46). Similarly, microarray analysis of the dopaminergic cell line, SN4741, revealed induction of stress indices following MPP⁺ treatment (47). To date, however, this is the first report that 6-OHDA up-regulates CHOP, and that it does so to a much greater extent than MPP⁺.

Additional transcripts identified via microarray analysis revealed that 6-OHDA induced a large number of genes that were not positively affected by MPP⁺, many of which were involved in protein folding, trafficking, or degradation (Table II). In contrast, the subset of genes induced by both drugs included amino acid transporters, tRNA-synthetases, ion channels, and stress-induced transcription factors (Table I). A small number of genes was induced by MPP⁺ but not 6-OHDA. These included Dnaja3, adaptor-related protein complex AP-3 β 1 subunit, and myelin transcription factor 1. Currently, the significance of these changes is unclear. Overall, MPP⁺-induced transcripts appeared to primarily represent a subset of genes induced by 6-OHDA.

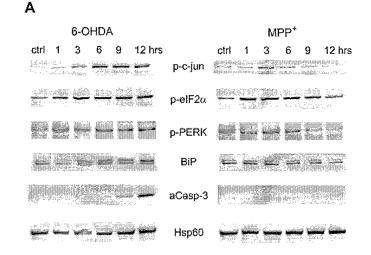
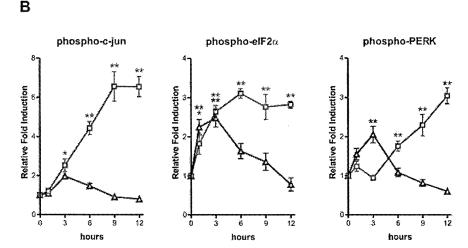


FIG. 5. 6-OHDA induced sustained phosphorylation of proteins associated with Ire1a/B and PERK UPR pathways, whereas MPP+ induced only transient changes. Protein lysates were prepared from MN9D cells treated with 6-OHDA and MPP+. A, antibodies against the phosphorylated forms of c-Jun (p-c-jun), eIF2 α $(p\text{-}eIF2\alpha)$, and PERK (p-PERK) were used for Western blot analysis. Additional antibodies were used to detect BiP, activated caspase-3 (aCasp-3), and Hsp60 as a protein loading control. B, quantification of phosphorylated proteins induced by 6-OHDA (squares) and MPP+ (triangles) was performed as described in text. Values represent mean ± S.E. of triplicate Western blots. *p < 0.05; **, p <0.01 compared with untreated control (one-way ANOVA with post-hoc Dunnett's multiple comparison test). Error bars of less than 2% are buried in the symbol.



UPR Signaling Pathways—Three signaling pathways have been associated with UPR that are triggered by the ER proteins, Ire $1\alpha/\beta$, ATF6, and PERK review (48). The Ire $1\alpha/\beta$ pathway is thought to activate caspase-12, the JNK/SAPK pathway, as well as Xbp1 mRNA splicing (37, 39, 40, 49). Translocation of ATF6 to the nucleus leads to the up-regulation of Xbp1 as well as various ER chaperones (48, 50). Finally, in addition to transcriptional changes, ER stress/UPR can down-regulate protein translation through phosphorylation of $eIF2\alpha$ via PERK kinase activity (48). Of interest, there is some redundancy in these cascades. For example, CHOP can be up-regulated by both the ATF6 and PERK pathways (50, 51). CHOP, as well as many chaperone proteins, contains a binding site called the ER stress element in its promoter region. In the nucleus, ATF6 binds to ER stress element sites activating CHOP transcription. In addition, CHOP contains a second site called the amino acid response element that is bound by the transcription factors ATF4 and C/EBP β . ATF4 is activated when eIF2 α is phosphorylated by PERK (48) or other eIF2 α kinases (52, 53). Thus, signaling through PERK also leads to the up-regulation of CHOP.

GeneChip analysis indicated that many of the genes induced by either MPP⁺ or 6-OHDA were increased to a similar extent. A notable exception, however, was that 6-OHDA induced CHOP 26-fold compared with 9-fold with MPP⁺ (Fig. 2, Table I). Moreover, although both neurotoxins increased ATF4 and C/EBP β , only 6-OHDA increased Xbp-1 mRNA levels (Fig. 2). These data are consistent with the notion that 6-OHDA triggered both ATF6 and PERK pathways leading to the dual activation of the CHOP promoter. Moreover, processing of Xbp1 mRNA, indicating activation of the Ire1 α/β pathway, was only observed with 6-OHDA. Although at present we have no clear evidence that caspase-12 is activated (data not shown), 6-OHDA but not MPP+ also dramatically up-regulated c-Jun mRNA (Fig. 4) and markedly increased phospho-c-Jun levels (Fig. 5). Taken together, it seems reasonable to propose that 6-OHDA is activating all three branches of the UPR signaling cascade, Ire1 α/β , ATF6, and PERK, whereas MPP+ is only activating the PERK branch. One possible model summarizing these results is shown in Fig. 7.

Additional support for this hypothesis comes from studies showing that $eIF2\alpha$ can also be phosphorylated by other kinases such as GCN2 in response to amino acid starvation (52) or PKR in response to viral infection (53). Thus, phosphorylation of $eIF2\alpha$ does not require activation of the entire UPR and can lead to induction of genes downstream of ATF4, but not ATF6 (50, 51). The present findings are consistent with the model that MPP⁺ triggers $eIF2\alpha$ phosphorylation (Fig. 7) without involving ATF6 and $Ire1\alpha/\beta$ activation. These data are remarkably similar to a recent report showing that arsenite

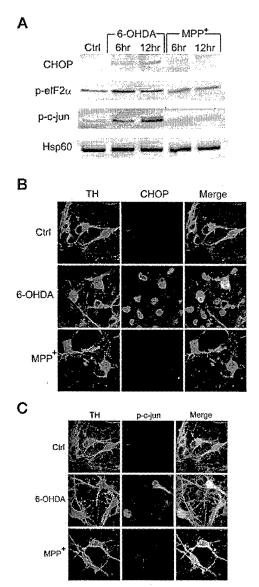


Fig. 6. 6-OHDA up-regulates CHOP in primary mesencephalic neurons. Protein lysates were prepared from primary mesencephalic cultures treated with 6-OHDA and MPP $^+$. A, Western blot analysis of primary lysates was done using antibodies against CHOP, phosphorylated eIF2 α (p- $eIF2\alpha$), phosphorylated c-Jun (p-c-jun), and Hsp60 as a protein loading control. B, primary cultures treated for 18 h were fixed and stained for CHOP and TH. C, primary cultures treated for 12 h were fixed and stained for phospho-c-Jun and TH.

exposure of primary neuronal cells led to the up-regulation of CHOP expression without a concurrent activation of UPR (54). Thus, MPP⁺-mediated cell death parallels that described for amino acid starvation and/or toxin treatment.

6-OHDA- or MPP+-mediated Cell Death—Previously we and others have shown that, although 6-OHDA and MPP+ both generate oxidative stress, only 6-OHDA treatment resulted in activation of caspases and morphological changes associated with apoptosis (20, 21). Several lines of evidence from this laboratory suggest, however, that 6-OHDA does not mediate an intrinsic, mitochondrial dependent, apoptotic pathway. For example, overexpression of the anti-apoptotic protein, Bcl-2, did not attenuate 6-OHDA-induced cell death in either the MN9D cell line or in primary dopaminergic neurons (22, 25). Moreover, deletion of the pro-apoptotic Bcl-2 family member, Bax, did not rescue dopamine neurons from 6-OHDA toxicity (25),

nor was Bax protein translocated to the mitochondria in response to this toxin.² Finally, microarray analysis failed to detect up-regulation of any BH3-only family proteins thought to act upstream of the intrinsic mitochondrial pathway, even though downstream caspases were activated (Fig. 5A). Thus, these data support a model in which 6-OHDA activates apoptosis without involving the intrinsic mitochondrial pathway.

Another possibility is that 6-OHDA activates the extrinsic apoptotic pathway involving death receptors such as Fas and the induction of caspase-8. The extrinsic pathway can occur independent of de novo protein synthesis (32, 55, 56) as well as Bcl-2 family member expression (for review see Ref. 57). However, activation of the extrinsic pathway requires ligand-mediated death receptor multimerization, adaptor proteins such as FADD, as well as autoproteolysis of caspases-8 and -10 (for review see Ref. 58). In the case of 6-OHDA-induced apoptosis, utilization of the extrinsic pathway seems unlikely because it was dependent on new protein synthesis, known death-inducing ligands were not identified by microarray analysis, and so-called death receptors (Fas (APO-1, CD95), tumor necrosis factor receptor 1 (TNF-R1), TNF-related apoptosis-inducing ligand receptor I and II, etc.; Ref. 59) as well as Fas-associated death domain were not detected either. In contrast, a growing body of evidence indicates that ER stress can induce apoptosis independent of both extrinsic and intrinsic pathway factors requiring instead caspase-12 and caspase-9 (60, 61). Apoptosis mediated by 6-OHDA appears to have more characteristics in common with this alternative, non-mitochondrial, pathway, although the involvement of caspases-9 and -12 remains to be determined.

The present data as well as previous studies (20, 21) help to order and clarify the temporal events following neurotoxin treatment. Previous studies of primary dopaminergic neurons have shown that 6-OHDA induced an immediate increase (minutes) in reactive oxygen species (ROS) (21). The current findings suggest that following ROS generation 6-OHDA treatment quickly leads to the induction of c-Jun and processed Xbp1 mRNA (Fig. 4). These mRNAs are increased after 1 h and reach near maximal values by 3 h. Another early event is the phosphorylation of eIF2 α , which is also increased significantly at 1 h, peaks at 3 h, and then stays elevated for the next 9 h (Fig. 5). Presumably triggered by the aforementioned primary events, a distinct second wave of transcriptional responses occurs, exemplified by CHOP and BiP. The latter are unchanged at 1 h and then rise rapidly (Fig. 3, 4). Phosphorylation of c-Jun also occurs during this time (Fig. 5). Reflecting an earlier increase in levels of CHOP mRNA, increased CHOP protein is detected after 6 h (Fig. 3). In addition, phosphorylation of PERK is not detected until 6 h following 6-OHDA exposure (Fig. 5). The last event to occur in this study was the activation of caspase-3, which was barely detectable at 9 h and only increased significantly after 12 h (Fig. 5A). Previous studies have shown that the pan-caspase inhibitor benzyloxycarbonyl-Val-Ala-Asp-fluoromethylketone blocks 6-OHDA toxicity in MN9D cells (20) and that the pan-caspase inhibitor bocaspartyl(Ome)-fluromethylketone is similarly effective in cultured dopaminergic neurons (21). Thus, a broad, multiphasic program of transcriptional, translational, and post-translational events precedes 6-OHDA-induced dopaminergic cell

Following transient increases, MPP⁺-induced phospho-PERK, phospho-eIF 2α , and phospho-c-Jun levels all decreased to near control levels after 6–9 h of exposure, whereas these same proteins remained phosphorylated in response to

² W. A. Holtz and K. L. O'Malley, unpublished observation.

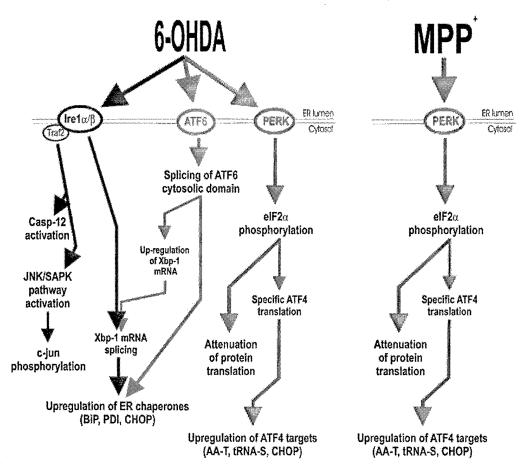


Fig. 7. 6-OHDA induces multiple targets of UPR, whereas MPP⁺ is restricted to the PERK pathway. The mammalian UPR consists of three ER membrane resident proteins (Ire $1\alpha/\beta$, ATF6, and PERK) that sense ER stress and activate the UPR pathway resulting in transcriptional changes and attenuation of protein translation. The current studies demonstrate that 6-OHDA induces all three arms of the UPR leading ultimately to the transcriptional changes first identified by microarray analysis. In contrast, MPP⁺ is restricted to phosphorylation of PERK and eIF 2α , resulting in up-regulation of a subset of genes induced by 6-OHDA.

6-OHDA. Why then are MPP⁺ mediated changes transient? One possible explanation is that, although both toxins initially trigger the same response as a result of oxidative stress, this response diverges as MPP⁺ more effectively depletes cellular energy. Conceivably, only 6-OHDA-treated cells retain sufficient energy to execute apoptosis. On the other hand, BiP and Xbp1 mRNA did not increase significantly at any time following MPP⁺ treatment, but were induced by 6-OHDA. This might indicate that the two responses are distinct from the beginning, despite sharing common participants.

In primary cultures, the difference between 6-OHDA and MPP⁺ appears to be even more distinct. Markers of UPR seen in 6-OHDA-treated MN9D cells were also seen in 6-OHDA-treated primary cultures (Fig. 6). In contrast, MPP⁺ did not appear to up-regulate CHOP or to phosphorylate eIF2 α or c-Jun in dissociated dopaminergic neurons (Fig. 6). Further investigation will be needed to determine whether this is the result of differences between MN9D cells and primary cells, or of the manner or timing in which the cells were treated.

Unraveling the biological processes by which PD mimetics induce their neurotoxic effects is important to accurately model this disease. However, despite decades of use, the complex signaling pathways by which 6-OHDA and MPP⁺ act remain unclear. The unsuspected finding that 6-OHDA and MPP⁺ trigger components of the UPR pathway will lead to a better understanding of the application of these agents in models of nigral degeneration and improve the interpretation of the results. In addition, information obtained from 6-OHDA- or

MPP⁺-mediated cell death may also contribute toward understanding other disorders such as excitotoxicity, amyotrophic lateral sclerosis, ataxias, etc. These findings support the emerging role of ubiquitin-proteasome system dysfunction in PD, and provide a connection between oxidative stress, mitochondrial dysfunction, and impaired protein degradation.

Acknowledgments—We thank Dr. M. Watson for helpful discussions of microarray experimental design and data interpretation, as well as the Alvin J. Siteman Cancer Center at Washington University School of Medicine and Barnes-Jewish Hospital in St. Louis, Missouri, for the use of the Multiplexed Gene Analysis Core, which provided generation, hybridization, and scanning of target cRNA services. The Siteman Cancer Center is supported in part by National Institutes of Health NCI Cancer Center Support Grant P30 CA91842.

Note Added in Proof—While this manuscript was under review, Ryu et al. (Ryu, E. J., Harding, H. P., Angelastro, J. M., Vitolo, O. V., Ron, D., and Greene, L. A. (2002) J. Neurosci. 22, 10690) demonstrated induction of the unfolded protein response in 6-OHDA-treated PC12 cells and sympathetic neurons. This supports our findings in MN9D cells and primary dopaminergic cultures that 6-OHDA is an inducer of ER stress.

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